

NUSF-131 – Reverse Auction Workshop Agenda

1. Introductions
2. Process Discussion
 - a. Application process
 - b. Broadband map resources
 - c. Auction Mechanics
 - d. Post-Auction Application
3. Other Considerations
 - a. 911 Service
 - b. Rural Area Service Considerations
 - c. Rural Based Plan discussion
4. Q&A
5. Adjourn

Outline for Nebraska Reverse Auction (NUSF-131)

1. Bidders must pre-qualify, both as an entity and for the tier they wish to bid in
 - a. Must submit pre-auction application form with relevant information included (see Draft Application)
 - b. Speed Tiers:
 - i. Baseline (100/100)
 - ii. Gigabit (1 Gbps down/500 Mbps Up)
2. Bidders will be provided a list of the census block groups available for bid, with online resource available for reference, and containing the bid unit ID and the \$ amount
3. We propose to conduct bidding rounds every other business day, with schedule defined once announcement is made to open the application process
4. Entities will need to fill out a worksheet for each round with the bid unit ID, and the tier in which they wish to bid
5. The Commission will collect all bids at the bid deadline, assess the overall demand, and determine whether the demand is above or below the budgeted amount for the auction.
 - a. If above budget, all bid units receiving bids will be reduced by a set percentage (5%), and all bid units not receiving bids will be removed from consideration. Remaining bid units will proceed to the next round if there are still competing bids.
 - i. For next round, all bid units receiving bids will be available for bidding by any entity that submitted a bid for the area in the first round. Entities will again indicate their interest in bidding for the lowered support amount.
 - ii. All bids will be collected, and bid units not receiving bids will be eliminated from further consideration. If still above the budget, additional rounds will be conducted in the same manner.
 - b. If below, bid units with single bids will be frozen, and considered complete. Bid units with multiple bids, but with a single higher tier bid, will be awarded to the higher tier, and considered complete. Bid units with multiple bids in the same tier, but no superseding bid will continue to the next round.
 - i. Participants cannot switch their bid tier from round to round
 - ii. Subsequent rounds will have the available support amount dropped by 5% until all bid units have either been reduced to a single winning bid or eliminated.
6. Once all bidding is complete, the Commission will release a list of the bid units that were awarded successful bids, as well as the successful bidding entities
 - a. Those entities will be responsible for completing the post-auction application
 - b. Final award of bids will be made once post-auction application forms have been received, reviewed, and approved
7. Winning bidders will be subject to the requirements for buildout and post-buildout testing once that final award is announced.
 - a. We would propose providing a portion of the winning bid (50%) once the final determination of the winning bidders are announced, and the remaining support paid out once buildout obligations are met.

NUSF-131 Reverse Auction Pre-Auction Application Form

Section I: Applicant Details

1. Applicant/Entity name:	
2. Applicant NE Code:	
3. NETC Status: NOTE: Post auction, winning bidders must pursue any ETC application/amendment process that may need to be completed prior to support authorization.	
4. Applicant address:	
4a. Applicant city:	
4b. Applicant state:	
4c. Applicant zip code:	
5. Applicant contact (first and last name):	
6. Applicant e-mail:	
7. Applicant phone number:	
8. Legal representative name (must be an attorney licensed to practice law in Nebraska):	
9. Legal representative e-mail:	
10. Legal representative phone number:	

Section II: Bidding Details

11. Indicate the speed tier or tiers in which applicant intends to bid, and the proposed technology for the respective speed tier. Technologies other than fiber to the premise need to provide supporting documentation, including speed tests, proving that the technology can meet the required speed thresholds:

A. Baseline: $\geq 100/100$ Mbps; - Low Latency (≤ 100 ms)	Yes/No
Technology for Baseline tier	
B. Gigabit ≥ 1 Gbps/500 Mbps; - Low Latency (≤ 100 ms)	Yes/No
Technology for Gigabit tier	

12. Please provide the names and email addresses of the bidders authorized to bid (up to 3 bidders per applicant/entity allowed):

	Name of Bidder (Last, First)	E-mail Address
Authorized Bidder 1:		
Authorized Bidder 2:		
Authorized Bidder 3:		

Attach/Include:

Please label attachments as follows: “[Applicant Name]_[Attachment Letter].” If it is necessary to submit multiple attachments under one attachment letter category, label the attachments to identify the separate attachments. Ex. “[Applicant Name]_[Attachment Letter]_1”, “[Applicant Name]_[Attachment Letter]_2”, etc.

- Technical Capability: Attach a detailed network proposal in addition to documents showing a demonstrated history of at least two (2) years of service at the speeds for which the applicant proposes to bid and with the technology to be used to complete the proposed deployment. (Attachment Letter: A)
- Financial Capacity: Attach documentation of financial information showing the applicant’s financial capability to bid on the proposed service areas. This should include a completed Financial Metrics template available on our website, as well as financial statements. Audited financial statements along with an opinion letter are required when the applicant or its parent company are audited in the ordinary course of business. If the company is not audited in the ordinary course of business, unaudited financial statements from the prior fiscal year must be provided. If unaudited financial statements are provided with the pre-auction application, the applicant must also provide a statement certifying that if it is identified as a winning bidder, the audited financial statements will be provided no later than 180 days after being announced as a winning bidder. (Attachment Letter: B)
- Operational Capacity: Provide a statement including general information about the applicants existing service area, its history of providing broadband service, and the broadband service type and speeds provided elsewhere. (Attachment Letter: C)
- Subscribership information for an existing network that currently provides the speeds in which the applicant intends to bid are currently being offered to customers. This information is required to demonstrate the ability of the applicant to run a successful broadband operation and serve its customers. Applicant should identify existing services in Nebraska, and the number of customers that subscribe to their services, separated by exchange. (Attachment Letter: D)
- The proposed Lifeline service plans of the applicant. (Attachment Letter: E)
- Information relative to whether the applicant participates in the FCC’s Affordable Connectivity Program (formerly Emergency Broadband Benefit Program) and how that participation may impact proposed service offerings to subscribers in auctioned areas. (Attachment Letter: F)
- Applications proposing to use technology other than fiber or proposing to use a combination of fiber and other technologies, must include an attestation from a qualified engineer describing the speed capabilities of the proposed technology, as well as speed test data from existing deployments that support the capability claim. (Attachment Letter: G)
- Attach documentation with details of the standalone voice plan and service plan currently offered by the application that provides broadband at the relevant performance tier and latency requirements at rates that are reasonably comparable to rates offered in urban areas. (Attachment Letter: H)

APPLICANT CERTIFICATION: By signing this statement, the applicant certifies that the information submitted on the application and all supporting documents are true and correct. The applicant agrees to perform and adhere to all grant requirements, and to comply with all state and federal regulations and requirements pertaining to this program. In addition, the applicant agrees not to use equipment prohibited by the FCC within the network, to offer rates in the project area that are comparable to the rates offered by the applicant in urban areas outside the project area and as compared to the FCC’s national rate survey, to submit network performance (speed and latency) testing information as prescribed by the Commission, and to complete the project build within two (2) years following the grant award unless granted an extension.

Printed Name of Authorized Person

Date

Title of Authorized Person

Signature of Authorized Person

**NUSF-131 Reverse Auction
Financial Metrics**

The following information should pertain to the applicant or its parent company's most recent fiscal year-end financial statements.

18. Does the applicant request confidential treatment of the information provided in the financial metrics contained in this application and in the financial statements provided?		Yes/No	
19. Are the financial statements of the company or its parent audited in the ordinary course of business?	Yes/No	20. Current assets	\$
21. Net income:	\$	22. Current liabilities	\$
23. Total amount of interest expense:	\$	24. Total equity	\$
25. Operating margin	%	26. Total capital Equals total liabilities plus total equity	\$

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NUSF-131 Reverse Auction Post-Auction Long-Form Application

Section I: Applicant Details

1. Applicant/Entity name:		
2. Applicant NE Code:		
3. Applicant address:		
3a. Applicant city:		
3b. Applicant state:		
3c. Applicant zip code:		
4. Applicant contact (first and last name):		
5. Applicant e-mail:		
6. Applicant phone number:		
7. Legal representative name (must be an attorney licensed to practice law in Nebraska):		
8. Legal representative e-mail:		
9. Legal representative phone number:		
10. Applicant's Nebraska ETC status:		
11. Does the applicant currently report through FCC Form 477 the speeds they are committing to provide through this deployment?	11a. If yes, does the applicant clearly offer the minimum speeds required on their public-facing website:	
11b. Provide the service provider's public-facing website URL that reflects the currently available speeds:		

Attach/Include:

Please label attachments as follows: “[Applicant Name]_[Attachment Letter].” If it is necessary to submit multiple attachments under one attachment letter category, label the attachments to identify the separate attachments. Ex. “[Applicant Name]_[Attachment Letter]_1”, “[Applicant Name]_[Attachment Letter]_2”, etc.

A business plan for the proposed network that includes details of the project including a description of the network design, how the service area will be maintained throughout the useful life of the facilities, a description of any legal challenges that must be addressed prior to or during the project in question, such as local zoning, right of way, and permitting processes, and demonstrates capability to maintain the network over the long run. The plan should also include deployment timelines, financial analysis for the project, including a description of how project costs and expected revenue will result in financial viability of the project over the expected useful life of the facilities. (Attachment Letter: A)

- A funding breakdown must be attached in Excel format. This should include a proposed budget reflecting a clear and detailed breakdown of cost elements based on total project costs. This should also include the winning bid amount for the project area. (Attachment Letter: B)
- Auction participants are required to offer Lifeline service and participate in the FCC’s Affordable Connectivity Program (ACP). Attach documentation reflecting the Lifeline service plan and ACP offerings that will be made available to customers in the project area. (Attachment Letter: C)
- A statement from the applicant attesting that they will comply with all ETC certification requirements. (Attachment Letter: D)
- A statement from the applicant attesting that they will offer service to customers within the project area at the proposed speeds and at affordable rates as compared to the FCC’s national rate survey? (Attachment Letter: E)

APPLICANT CERTIFICATION: By signing this statement, the applicant certifies that the information submitted on the application and all supporting documents are true and correct. The applicant agrees to perform and adhere to all grant requirements, and to comply with all state and federal regulations and requirements pertaining to this program. In addition, the applicant agrees not to use equipment prohibited by the FCC within the network, to offer rates in the project area that are comparable to the rates offered by the applicant in urban areas outside the project area and as compared to the FCC’s national rate survey, to submit network performance (speed and latency) testing information as prescribed by the Commission, and to complete the project build within two (2) years following the grant award unless granted an extension.

Printed Name of Authorized Person

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